



## Two Pell Grants In An Award Year

CCCSFAAA Spring Conference



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## Two Pell Grants In An Award Year

### Basic Concepts



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### Two Pell Grants In An Award Year

- Authorized by the Higher Education Opportunity Act (HEOA).
- Objective is to help accelerate student's academic progress.
- Effective for the 2009-2010 Award Year.
  - Implementation by schools is not optional.
  - Students eligible for second scheduled award in 2009-2010 must be paid.
- If eligible, student able to receive all or a portion of a second Scheduled Award within an Award Year.
- Final Regulations Published October 29, 2009.



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### Two Pells: Student Eligibility

- First Scheduled Award
  - Student otherwise Pell Grant eligible.
  - Enrolled at any enrollment status.
  - Amounts received will count toward life-time aggregate limit, if applicable.
- Second Scheduled Award
  - Student otherwise Pell Grant eligible.
  - Enrolled at least half-time.
  - Received 100% of first Scheduled Award.
  - Amounts received will count toward life-time aggregate limit, if applicable.
  - Beginning with 2010-2011 Award Year student must demonstrate academic year acceleration.




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### Two Pells: Student Eligibility

- No change in way award for payment period is calculated
  - Pell awarding formulas have not changed
  - Calculation by payment period based on student's Scheduled Award
- Within an Award Year, school continues to pay eligible student until reaching 200% of Scheduled Award for the Award Year
  - A payment period may include awards from both first Scheduled Award and second Scheduled Award.




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### If Law Had Not Changed - Semester Example

Student may only receive up to ONE Scheduled Award within an Award Year. Assume student's 2009-2010 Scheduled Award is \$5,350 and will be \$5,550 for 2010-2011.

Fall 2009 \$2,675	Spring 2010 \$2,675	Summer 2010 \$0	100% 2009-10 AY
2009-10 Award Year			

Summer 2010 \$2,775	Fall 2010 \$2,775	Spring 2011 \$0	100% 2010-11 AY
2010-11 Award Year			




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### New Law - Semester Example

Student may receive up to TWO Scheduled Awards within an Award Year. Assume student's 2009-2010 Scheduled Award is \$5,350 and will be \$5,550 for 2010-2011.

Fall 2009 \$2,675	Spring 2010 \$2,675	Summer 2010 \$2,675	150% 2009-10 AY
2009-10 Award Year			

Fall 2010 \$2,775	Spring 2011 \$2,775	Summer 2011 \$2,775	150% 2010-11 AY
2010-11 Award Year			




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### New Law - Semester Example

Student may receive up to TWO Scheduled Awards.  
Student's Scheduled Award is \$5,350 for the Award Year.

Summer 2009 \$2,675	Fall 2009 \$2,675	Spring 2010 \$2,675	Summer 2010 \$2,675	
2009-10 Award Year				200% 2009-10 AY




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### If Law Had Not Changed - Quarter Example

Student may only receive up to ONE Scheduled Award.  
Assume student's 2009-10 Scheduled Award is \$5,350 and will be \$5,550 for 2010-2011.

Fall 2009 \$1,784	Winter 2010 \$1,783	Spring 2010 \$1,783	Summer 2010 \$0.00	100% 2009-10 AY
2009-10 Award Year				

Summer 2010 \$1,850	Fall 2010 \$1,850	Winter 2011 \$1,850	Spring 2011 \$0	100% 2010-11 AY
2010-11 Award Year				




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### New Law- Quarter Example

Student may receive up to TWO Scheduled Awards.  
 Assume student's 2009-10 Scheduled Award is \$5,350  
 and will be \$5,550 for 2010-11.

Fall 2009 1784	Winter 2010 \$1,783	Spring 2010 \$1,783	Summer 2010 \$1,784	133.3% 2009-2010 AY
2009-10 Award Year				

Fall 2010 \$1,850	Winter 2011 \$1,850	Spring 2011 \$1,850	Summer 2011 \$1,850	133.3% 2010-2011 AY
2010-11 Award Year				




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### New Law - Quarter Example

Student may receive up to TWO Scheduled Awards.  
 Student's Scheduled Award is \$5,350 for 2009-2010.

Summer 2009 \$1,784	Fall 2009 \$1,783	Winter 2010 \$1,783	Spring 2010 \$1,784	Summer 2010 \$1,783
2009-10 Award Year				

166.7%  
2009-2010 AY




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### One Term -Two Awards

- When the calculated award for a payment period is greater than remaining balance of first Scheduled Award, the award for the payment period is the remaining amount of the first Scheduled Award plus an amount from the second Scheduled Award.
  - May be awarded as one amount.
  - Will be reported to COD as one amount.




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## One Term – Two Awards

Completes 1<sup>st</sup> Scheduled Award and Begins 2<sup>nd</sup> Schedules Award in same Term

2009-10 Award Year 0 EFC = \$5,350

Summer 2009 ¾ time (9 hr) \$2,006	Fall 2009 Full-time (12 hr) \$2,675	Spring 2010 Full-time (12 hr) \$2,675
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- In Spring student receives payment from both first and second Scheduled Awards and is awarded FT amount

- \$ 669 1st Scheduled Award (12.5%)
- \$2,082 2nd Scheduled Award (37.5%)



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## Two Pell Grants In An Award Year



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## Final Regulations – October 29, 2009

- Effective with the 2010-11 Award Year
  - Cross-Over Payment Period
    - If cross-over payment period, school must award from the award year with the highest award amount for the payment period for the student.
  - Acceleration – Academic Year Progression
    - At least one credit or clock hour in the payment period must be attributable to the student's next academic year.



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## Two Pell Grants In An Award Year

### Cross-Over Payment Period Requirements



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### Cross-Over Payment Period

- Longstanding Policy –
  - Payment period that includes both June 30 and July 1.
  - Must be assigned to one award year.
  - Must have valid SAR/ISIR for assigned year.
  - First and last cross-over payment periods could be from the same award year.
  - May be different award year for Pell than for other Title IV aid. See later slides.



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### Summer Mini-Sessions

- Standard term programs using Pell formula 1 or 2 count the summer term as a standard term
  - May consist of mini-summer terms/sessions which are combined and handled like a standard term
  - Full-time for the combined summer terms/sessions must be defined as 12 credits
  - Length of summer terms/sessions does not matter



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### Summer Mini-Sessions

- School with standard terms has 4 summer mini-sessions
  - Session I: May 4 – June 5
  - Session II: May 18 - June 19
  - Session III: June 8 – July 10
  - Session IV: July 6 – Aug 7
- School combines all 4 sessions into one 14 week summer term (May 4 – Aug 7)
- Summer is a crossover payment period
- Students may take courses in any session and still be enrolled in the combined summer term




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### Summer Mini-Sessions

- Student A enrolls only in Sessions I and II
  - May 4 – June 19
- Student B enrolls only in Sessions III and IV
  - June 8 - August 7
- Student C enrolls only in Session IV
- All are considered to be enrolled in combined term that starts May 4 and ends August 7
  - Assuming valid ISIR for both 09/10 and 10/11, may be paid Pell from either award year




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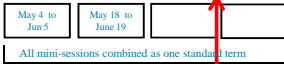
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Student A: Attends only Sessions I and II



Student B: Attends only Sessions III and IV



Student C: Attends only Session IV




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### New Regs for Cross-Over Term

- Effective with the 2010-2011 Award Year
- Must assign to award year in which student receives greater payment for the term - based upon information available at initial calculation.
  - Assume other year is higher if –
    - No SAR/ISIR.
    - Rejected ISIR with no EFC.
    - ISIR selected for verification but verification not completed.
    - Student does not meet acceleration requirement. See later slides.




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### New Regs for Cross-Over Term

Changes –

- Until date published in Federal Register (September ???) -
  - Must reassign payment period if information received showing greater payment from other Award Year.
  - Must compare again if re-calculating for any reason.
- May monitor and adjust after Federal Register date.




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### New Law – Assign Cross-Over To Higher Payment Semester Example

Student may receive up to TWO Scheduled Awards. Assume student's 2009-10 Scheduled Award is \$5,350 and \$5,550 for 2010- 2011 and student eligible for either.

Fall 2009 \$2,675	Spring 2010 \$2,675	Summer 2010 \$0	100% 2009-10 AY
2009-10 Award Year			
Summer 2010 \$2,775	Fall 2010 \$2,775	Spring 2011 \$2,775	150% 2010-11 AY
2010-11 Award Year			




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### New Law – Assign Cross-Over To Higher Payment Semester Example

Student may receive up to TWO Scheduled Awards.  
 Assume student's 2009-10 Scheduled Award is \$5,350 but only \$4,000 for 2010-11 and student eligible for either.

Fall 2009 \$2,675	Spring 2010 \$2,675	Summer 2010 \$2,675	150% 2009-10 AY
2009-10 Award Year			

Summer 2010 \$0	Fall 2010 \$2,000	Spring 2011 \$2,000	100% 2010-11 AY
2010-11 Award Year			




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### New Law – Assign Cross-Over To Higher Payment Semester Example

Student may receive up to TWO Scheduled Awards.  
 Scheduled Award is \$5,350 for 2009-2010 and \$5,550 for 2010-2011.

*Paid as Header*

*Paid With Higher Award*

Summer 2009 \$2,675	Fall 2009 \$2,675	Spring 2010 \$2,675	Summer 2010 \$2,775
2009-10			2010-11




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### New Law – Assign Cross-Over To Higher Payment Semester Example

Student may receive up to TWO Scheduled Awards .  
 Scheduled Award is \$5,350 for 2009-2010 and less for 2010-2011.

*Paid as Header*

*Paid With Higher Award*

Summer 2009 \$2,675	Fall 2009 \$2,675	Spring 2010 \$2,675	Summer 2010 \$2,675
2009-10 Award Year			




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### New Law – Assign Cross-Over To Higher Payment Quarter Example

Student may receive up to TWO Scheduled Awards. Assume student's 2009-10 Scheduled Award is \$5,350 and \$5,550 for 2010-11.

Fall 2009 \$1,784	Winter 2010 \$1,783	Spring 2010 \$1,783	Summer 2010 \$0.00	100% 2009-10 AY
2009-10 Award Year				

Summer 2010 \$1,850	Fall 2010 \$1,850	Winter 2010 \$1,850	Spring 2010 \$1,850	133.3% 2010-11 AY
2010-11 Award Year				




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### New Law – Assign Cross-Over To Higher Payment Quarter Example

Student may receive up to TWO Scheduled Awards. Assume student's 2009-10 Scheduled Award is \$5,350 but only \$4,000 for 2010-11.

Fall 2009 \$1,784	Winter 2009 \$1,783	Spring 2009 \$1,783	Summer 2009 \$1,784	133.3% 2009-10 AY
2009-10 Award Year				

Summer 2010 \$0	Fall 2010 \$1,334	Winter 2010 \$1,333	Spring 2010 \$1,333	100% 2010-11 AY
2010-11 Award Year				




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### New Law – Assign Cross-Over To Higher Payment Quarter Example

Student may receive up to TWO Scheduled Awards. Scheduled Award is \$5,350 for 2009-2010 and \$5,550 for 2010-2011.

*Paid as Header*

*Paid With  
Higher Award*

Summer 2009 \$1,784	Fall 2009 \$1,783	Winter 2010 \$1,783	Spring 2010 \$1,784	Summer 2010 \$1,850
2009-10 Award Year			2010-11	




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### New Law – Assign Cross-Over To Higher Payment Quarter Example

Student may receive up to TWO Scheduled Awards.  
Scheduled Award is \$5,350 for 2009-2010 and less for 2010-2011.

*Paid as Header*

*Paid With  
Higher Award*

Summer 2009 \$1,784	Fall 2009 \$1,783	Winter 2010 \$1,783	Spring 2010 \$1,784	Summer 2010 \$1,783
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2009-10 Award Year



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### Two Pell Grants In An Award Year

### Cross-Over Payment Period Requirements



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### 2009 -2010 Cross-Over Transition

- 2009-2010 Award Year
  - Generally if awarding second Scheduled Award from 2009-2010 (including summer 2010 cross-over) new regulations do not apply.
- 2010-2011 Award Year
  - If awarding from 2010-2011 and beyond (including summer 2010 cross-over) new regulations do apply.
  - Must develop 2010 cross-over policy prior to July 1, 2010 or regulations apply.



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### 2009 -2010 Cross-Over Transition

For most flexibility, set your Pell cross-over term policy BEFORE July 1, 2010.

- Policy may provide for –
  - Awarding students from 2009-2010
    - Don't review 2010-2011 ISIR's
    - No review of academic year progression for 2009-2010
  - Awarding students from 2010-2011
    - See next slide.
  - Awarding on a student by student basis.



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### 2009 -2010 Cross-Over Transition

- Policy Established Prior to July 1, 2010
  - Awarding from 2010-2011 Award Year
    - Rules Apply, therefore -
      - Must pay higher of student's 2009-2010 or 2010-2011 award.
      - If 2009-2010, acceleration rule does not apply unless school's policy calls for it.
      - If 2010-2011, acceleration rule not applicable since this would not be second Scheduled Award.



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### 2009 -2010 Cross-Over Transition

- If Policy is established on or after July 1, 2010
  - 2010-11 Rules apply for both years–
    - Must pay higher of student's 2009-2010 or 2010-2011 award.
    - If 2009-2010, acceleration rule applies, regardless of school's policy.
      - If student has not progressed to next academic year, student not eligible for 2009-2010 award therefore pay Pell from 2010-2011 if eligible.



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## Two Pell Grants In An Award Year

### Cross-Over Payment Period Packaging



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### Cross-Over Payment Period - Packaging

- May use different Award Year for Pell than for other Title IV aid.
  - For Pell, use EFC and COA for the Award Year from which the student will be paid.
  - EFC and COA for all other Title IV programs can be from the other year, but must be the same for those programs.
  - Amount of Pell is EFA for other aid.



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### 2010 Summer Cross-Over Packaging Example -

- Student attending full-time and meets all eligibility requirements for a 1<sup>st</sup> or 2<sup>nd</sup> Pell from either Award Year.
- Summer COA is \$5,000
- 2009-2010 EFC is 500 with Scheduled Award of \$4,900
  - Award for term is \$2,450
- 2010- 2011 EFC is 0 with Scheduled Award of 5,550
  - Award for term is \$2,775



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**2010 Summer Cross-Over Packaging:**

**Example #1: 2009-2010 Package (Pell as "Trailer")**  
Pell to be paid from 2009-2010

COA	\$5,000
- EFC	500
= Need	4,500
- Pell	2,450
= Remaining Need	2,050
- Sub Loan	1,600
- Perk/FWS/ FSEOG	450

\*Pell amount based on 2009-2010 Scheduled Award of \$4,900 and payment period award of \$2,450.  
\*For all other TIV aid, school uses 2009-2010 EFC of 500.



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**2010 Summer Cross-Over Packaging:**

**Example #2: 2009-2010 Package (Pell as "Trailer")**  
Pell to be paid from 2010-2011

COA	\$5,000
- EFC	500
= Need	4,500
- Pell	2,775
= Remaining Need	1,725
- Sub Loan	1,275
- Perk/FWS/ FSEOG	450

\*Pell amount based on 2010-2011 Scheduled Award of \$5,550 and payment period award of \$2,775.  
\*For all other TIV aid, school uses 2009-2010 EFC of 500.



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**2010 Summer Cross-Over Packaging:**

**Example #3: 2010-2011 Package (Pell as "Header")**  
Pell to be paid from 2009-2010

COA	\$5,000
- EFC	0
= Need	5,000
- Pell	2,450
= Remaining Need	2,550
- Sub Loan	1,600
- Perk/FWS/ FSEOG	450

Pell amount based on 2009-2010 Scheduled Award of \$4,900 and payment period award of \$2,450.  
For all other TIV aid, school uses 2010-2011 EFC of 0.



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**2010 Summer Cross-Over Packaging:**

**Example #4: 2010-2011 Package (Pell as "Header")**  
**Pell to be paid from 2010-2011**

COA	\$5,000
- EFC	0
= Need	5,000
- Pell	2,775
= Remaining Need	2,225
- Sub Loan	1,775
- Perk/FWS/ FSEOG	450

\*Pell amount based on 2010-2011 Scheduled Award of \$5,550 and payment period award of \$2,775.  
\*For other TIV aid, school also uses 2010-2011 EFC of 0.



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**Two Pell Grants In An Award Year**  
**Acceleration**



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**Acceleration**

- At least one credit or clock hour (or partial hour if school uses partial hours) in the payment period when award will be from a second Scheduled Award must be attributable to the student's next academic year.
- Not "grade progression".
- Must be applied for any required recalculation.
- Gives meaning to statutory use of term "accelerate"



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## Acceleration

- Applies beginning with the 2010-2011 Award Year
  - Cross-over period payment awarded from upcoming year does not require counting hours because payment will be from first Scheduled Award.
  - Hours earned in summer 2010 count toward acceleration later in 2010-11 Award Year



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## Academic Year Progression

2010-11 Award Year 0 EFC = \$5,550

Summer 2010 Full-time (12/6 hr) \$2,775	Fall 2010 Full-time (12 hr) \$2,775	Spring 2011 Half-time (6 hr) \$0
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- Student does not receive second scheduled award year in Spring 2011 since no portion of the credit hours are attributable to the second academic year.



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## Academic Year Progression

2010-11 Award Year 0 EFC = \$5,550 (estimate)

Summer 2010 ¾ time (9 hr) \$2,082	Fall 2010 Full-time (12 hr) \$2,775	Spring 2011 Full-time (15 hr) \$2,775	Summer 2011 Full-time (15 hr) \$2,775
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- In Spring student receives payment from both first and second Scheduled Awards since a portion of the credit hours are attributable to the second academic award year.
  - \$ 693 1st award
  - \$2,082 2nd award



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## Academic Year Progression

2010-11 Award Year 0 EFC = \$5,550 (estimate)

Summer 2010 Full-time (12/7 hr) \$2,775	Fall 2010 Full-time (12/6 hr) \$2,775	Spring 2011 Full-time (12 hr) \$2775
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- Student receives second scheduled award year in Spring 2011 since at least one credit hours is attributable to the second academic year.



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## Year Round Pell: Transfers

- If student received 100% of the first Scheduled Award at prior school(s), assume student completed first academic year.
- If student received less than 100% use same ratio to the receiving school's academic year as the % of the Scheduled Award that was received from the other school(s). Round up.
- Receiving school may use actual hours earned at prior school(s).
  - Credits do not have to transfer to new school
  - Not related to academic program



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## Transfer To Semester School Assumption Method

- Assume student completed the same ratio of the academic year as the % of Pell received
  - Prior school disbursed \$2,007 of \$5,350 Scheduled Award at that school.
  - New school's academic year is defined as 24 credits
  - $\$2,007 \times 24 / \$5,350 = 9$  credits assumed earned at prior school.
  - Student given 9 credits toward completion of first academic year.



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### Transfer To Quarter School Assumption Method

- Assume student completed the same ratio of the academic year as the % of Pell received
  - Prior school disbursed \$1,784 of \$5,350 Scheduled Award at that school.
  - New school's academic year is defined as 36 credits
  - $\$1,784 \times 36 / \$5,350 = 12$  credits assumed earned at prior school.
  - Student given 12 credits toward completion of first academic year.



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### Special Circumstances

- Academic Year completion requirement can be waived if –
  - FAA determines that student was unable to complete the hours of the first academic year due to 'circumstances beyond the student's control'.
  - Determination must be documented and made on a student by student basis.



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### Special Circumstances

Special Circumstances beyond a student's control -

- May include, but are not limited to –
  - Student withdrawing from classes due to illness
  - Student being unable to register for classes necessary to complete his or her eligible program because those classes were not offered.



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### Special Circumstances

Special Circumstances beyond a student's control does not include-

- Withdrawing to avoid a particular grade or failing to register for a necessary class that was offered during the period to avoid a particular instructor.



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### Two Pell Grants In An Award Year Operations



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### Cross-Over Pell Reporting

If Pell disbursements must change Award Years due to requirement to pay largest payment then:

- COD
  - Cancel disbursement from the incorrect year and re-originate and enter actual disbursement amount under the correct award year.
- G-5
  - Business Office will do a draw down adjustment reassigning the Pell disbursement to the correct Award Year and draw down any additional Pell funds from the proper Award Year if necessary.
- FISAP
  - Report Pell disbursement on the FISAP for the Award Year from which Pell was paid.



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## Two Pell's: COD Reporting

- New field in COD Common Record
  - Additional Eligibility Indicator (AEI)
- Set AEI to “true” when awarding funds from the student’s second Scheduled Award.
- With AEI set, no POP MRR but still triggers concurrent enrollment flag.



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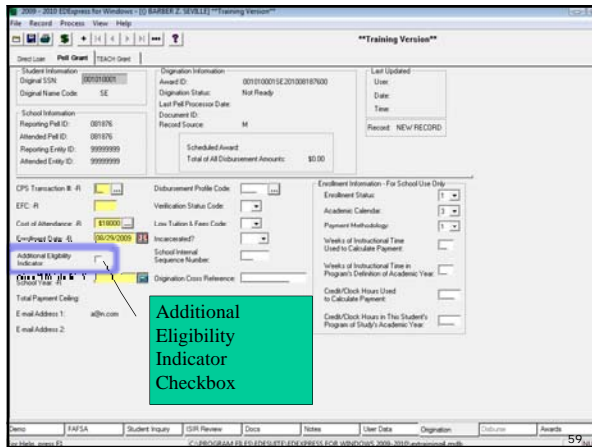
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## Town Hall Meeting – Two Pell's

- DCL ANN 10-10 (Registration)
- Answers to Common Questions
  - Clock-Hour / Non-term Schools
    - April 9, 2010
  - Standard Term Schools
    - April 13, 2010
    - April 16, 2010



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**Questions???**

**Thank you for joining  
us today!**



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